

General information about company

Scrip code	533644
NSE Symbol	UJAAS
MSEI Symbol	
ISIN	INE899L01022
Name of the entity	UJAAS ENERGY LIMITED
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Yearly
Date of Report	31-03-2021
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	SHYAMSUNDER MUNDRA	ACVPM0080H	00113199	Non-Executive - Non Independent Director	Chairperson		04-11-1943	Yes	23-09-2015	09-06-1999	24-09-2015		1	0	0	0			
2	Mr	VIKALP MUNDRA	ACVPM0079N	00113145	Non-Executive - Non Independent Director	Not Applicable		31-08-1971	NA		09-06-1999	24-09-2015		1	0	0	0			
3	Mr	ANURAG MUNDRA	ABZPM2347L	00113172	Non-Executive - Non Independent Director	Not Applicable		16-09-1976	NA		09-06-1999	24-09-2015		1	0	2	0			
4	Mr	PIYUSH KUMAR SINHA	ALWPS7438P	00484132	Non-Executive - Independent Director	Not Applicable		20-12-1960	NA		13-02-2018	13-02-2020		24	1	3	0	0		

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Wether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of Chairperson in Audit Stakeholder Committee(s) held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
5	Mrs	YAMINI KARMARKAR	ACNPK4820H	07543128	Non-Executive - Independent Director	Not Applicable		24-10-1971	NA		29-03-2018	29-03-2020		24	1	1	1	1
6	Mr	PRAKASH DANDEKAR	AEXPD4008E	08082848	Non-Executive - Independent Director	Not Applicable		16-05-1952	NA		29-03-2018	29-03-2020		24	1	1	1	1

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07543128	YAMINI KARMARKAR	Non-Executive - Independent Director	Chairperson	02-04-2018		
2	08082848	PRAKASH DANDEKAR	Non-Executive - Independent Director	Member	02-04-2018		
3	00113172	ANURAG MUNDRA	Non-Executive - Non Independent Director	Member	24-09-2015		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07543128	YAMINI KARMARKAR	Non-Executive - Independent Director	Chairperson	02-04-2018		
2	08082848	PRAKASH DANDEKAR	Non-Executive - Independent Director	Member	02-04-2018		
3	00484132	PIYUSH KUMAR SINHA	Non-Executive - Independent Director	Member	02-04-2018		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08082848	PRAKASH DANDEKAR	Non-Executive - Independent Director	Chairperson	02-04-2018		
2	07543128	YAMINI KARMARKAR	Non-Executive - Independent Director	Member	02-04-2018		
3	00113172	ANURAG MUNDRA	Non-Executive - Non Independent Director	Member	14-02-2019		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00113199	SHYAMSUNDER MUNDRA	Non-Executive - Non Independent Director	Chairperson	24-09-2015		
2	07543128	YAMINI KARMARKAR	Non-Executive - Independent Director	Member	02-04-2018		
3	00113145	VIKALP MUNDRA	Non-Executive - Non Independent Director	Member	24-09-2015		
4	00113172	ANURAG MUNDRA	Non-Executive - Non Independent Director	Member	24-09-2015		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	07543128	YAMINI KARMARKAR	Compensation Committee	Non-Executive - Independent Director	Chairperson	
2	00113199	SHYAMSUNDER MUNDRA	Compensation Committee	Non-Executive - Non Independent Director	Member	
3	00484132	PIYUSH KUMAR SINHA	Compensation Committee	Non-Executive - Independent Director	Member	
4	08082848	PRAKASH DANDEKAR	Compensation Committee	Non-Executive - Independent Director	Member	
5	00113172	ANURAG MUNDRA	Fund Raising Committee	Non-Executive - Non Independent Director	Chairperson	
6	00113199	SHYAMSUNDER MUNDRA	Fund Raising Committee	Non-Executive - Non Independent Director	Member	
7	00113145	VIKALP MUNDRA	Fund Raising Committee	Non-Executive - Non Independent Director	Member	
8	07543128	YAMINI KARMARKAR	Fund Raising Committee	Non-Executive - Independent Director	Member	

Annexure 1**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	03-12-2020				No	3	0
2		12-02-2021	70		No	3	0

Annexure 1**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee				the company is under corporate insolvency resolution process vide NCLT order dated 17th September 2020 due to which no Audit Committee Meeting was held during the quarter i.e. October, 2020-December, 2020.	No		
2	Audit Committee				the company is under corporate insolvency resolution process vide NCLT order dated 17th September 2020 due to which no Audit Committee Meeting was held during the current quarter i.e. January 2021 - March 2021.	No		

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Karan Kapoor
2	Designation	Company Secretary and Compliance Officer

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.ujaas.com
2	Terms and conditions of appointment of independent directors	Yes		www.ujaas.com
3	Composition of various committees of board of directors	Yes		www.ujaas.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.ujaas.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.ujaas.com
6	Criteria of making payments to non-executive directors	Yes		www.ujaas.com
7	Policy on dealing with related party transactions	Yes		www.ujaas.com
8	Policy for determining 'material' subsidiaries	Yes		www.ujaas.com
9	Details of familiarization programmes imparted to independent directors	Yes		www.ujaas.com

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.ujaas.com
11	email address for grievance redressal and other relevant details	Yes		www.ujaas.com
12	Financial results	Yes		www.ujaas.com
13	Shareholding pattern	Yes		www.ujaas.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		www.ujaas.com
16	New name and the old name of the listed entity	Yes		www.ujaas.com
17	Advertisements as per regulation 47 (1)	Yes		www.ujaas.com
18	Credit rating or revision in credit rating obtained	Yes		www.ujaas.com
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.ujaas.com
21	Materiality Policy as per Regulation 30	Yes		www.ujaas.com
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		www.ujaas.com
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.ujaas.com

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Interim Resolution Professional (IRP). Further, due to lack of Staff, on account of the pandemic situation, especially Indore was a COVID-19 hotspot of the State, the required data for preparation of financial results was not readily available with the Company before the due date. With the Company under CIRP, the consideration and approval of financial results had taken more time than under the normal situation. From the above its amply clear that the delay was unintentional, as the Company had always submitted the unaudited / Audited financial results within the due date and also complied with the all the applicable provisions of the SEBI (LODR) and all applicable laws, including holding the meeting of the Board of Directors and Audit Committee at regular intervals and the gap between the two meetings was always within 120 days. Despite the delay was due to genuine inability on the above grounds of CIRP and Pandemic situation, the Company paid penalty for late submission of the quarterly result. Further, in the meeting held on 3rd December, 2020, out of total 6 Directors, 3 Executive Directors were present. No Independent Directors were present, although the notice had been issued to all the Independent Directors .
4	Quorum of Board meeting	17(2A)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Interim Resolution Professional (IRP). Further, due to lack of Staff, on account of the pandemic situation, especially Indore was a COVID-19 hotspot of the State, the required data for preparation of financial results was not readily available with the Company before the due date. With the Company under CIRP, the consideration and approval of financial results had taken more time than under the normal situation. From the above its amply clear that the delay was unintentional, as the Company had always submitted the unaudited / Audited financial results within the due date and also complied with the all the

				applicable provisions of the SEBI (LODR) and all applicable laws, including holding the meeting of the Board of Directors and Audit Committee at regular intervals and the gap between the two meetings was always within 120 days. Despite the delay was due to genuine inability on the above grounds of CIRP and Pandemic situation, the Company paid penalty for late submission of the quarterly result. Further, in the meeting held on 3rd December, 2020, out of total 6 Directors, 3 Executive Directors were present. No Independent Directors were present, although the notice had been issued to all the Independent Directors .
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Interim Resolution Professional (IRP). Further, due to lack of Staff, on account of the pandemic situation, especially Indore was a COVID-19 hotspot of the State, the required data for preparation of financial results was not readily available with the Company before the due date. With the Company under CIRP, the consideration and approval of financial results had taken more time than under the normal situation. From the above its amply clear that the delay was unintentional, as the Company had always submitted the unaudited / Audited financial results within the due date and also complied with the all the applicable provisions of the SEBI (LODR) and all applicable laws, including holding the meeting of the Board of Directors and Audit Committee at regular intervals and the gap between the two meetings was always within 120 days. Despite the delay was due to genuine inability on the above grounds of CIRP and Pandemic situation, the Company paid penalty for late submission of the quarterly result.
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration	19(2A)	Yes	

	Committee meeting			
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5), (6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided		Textual Information(1)	

Text Block

Textual Information(1)

The Company is under Corporate Insolvency Resolution Process W.e.f NCLT order dated 17th September 2020.

Annexure II

1	Name of signatory	Karan Kapoor
2	Designation	Company Secretary and Compliance Officer

Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	

Annexure II

1	Name of signatory	Karan Kapoor
2	Designation	Company Secretary and Compliance Officer

Signatory Details

Name of signatory	Karan Kapoor
Designation of person	Company Secretary and Compliance Officer
Place	Indore
Date	14-04-2021

